(Z)-5-Acetoxy-5-methyl-2-phenylhex-2-en-4-one ((Z)-32d): IR (neat) 3058, 2983, 1739, 1700, 1617, 1371, 1099, 815, 764, 699 cm⁻¹; ¹H NMR (300 MHz) δ 1.48 (s, 6 H), 2.13 (s, 3 H), 2.21 (s, 3 H), 6.40 (s, 1 H), 7.27–7.36 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 21.3, 23.6, 27.9, 83.8, 118.8, 126.8, 127.7, 128.0, 141.0, 156.5, 170.1, 197.0; HRMS calcd for C₁₈H₁₈O₃ 246.1256, found 246.1258.

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leum Research Fund, administered by the American Chemical Society, for partial support of this work. We also thank the National Institutes of Health for a Biomedical Research Support grant.

Supplementary Material Available: ¹H NMR spectra of all new compounds (52 pages). Ordering information is given on any current masthead page.

Functional Group Hybrids. Reactivity of α'-Nucleofuge α,β-Unsaturated Ketones. 2. Reactions with Malonate Anion. Concerning the Mechanism of the Favorskii Rearrangement

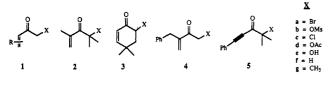
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The scope and limitations of the reaction of α' -nucleofuge α,β -unsaturated ketones with sodium dimethyl malonate has been studied systematically. The substrates with good nucleofuges (halides, mesylate) give cyclopropanols upon reaction with malonate anion by way of a conjugate Favorskii reaction. In reactions with substrates containing the poorer nucleofuge (acetoxy) conjugate addition proceeded without entering the Favorskii manifold. Concerning the mechanism of the Favorskii reaction, it is suggested that the loss of the nucleofuge occurs to give a 2-oxyallyl cation, but that disrotatory ring closure is facile and the only products observed result from nucleophilic trapping of cyclopropanones to yield cyclopropanols in fair to good yield.

The 1,4-conjugate addition of active methylene compounds to the β -carbon of an α,β -unsaturated ketone is a widely utilized method for the formation of carbon-carbon bonds.² In a project designed to develop a sequential conjugate addition-cycloaddition process for carbocycle synthesis (Scheme I, previous paper), we examined the reaction of α' -nucleofuge α,β -unsaturated ketones with a variety of agents which were anticipated to undergo conjugate addition to 1 as the triggering reaction to such a sequential process. In the previous article, we described the synthesis of substrates of general structure 1 in the form of 2-5 (a-d) and their reactions with Me₂CuLi and MeCu. Herein we present our results of the reactions of 2-5 with dimethyl malonate anion, which should proceed via a different mechanistic pathway than either Me₂CuLi or MeCu.



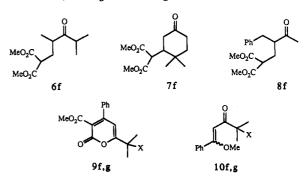
Results

Given the many possible reaction pathways envisioned for the substrates under study, it was necessary to show that "simple" conjugate addition to the enone portion of these substrates was viable. As control experiments, each of the parent α,β -unsaturated ketones **2f-5f** was treated with sodium dimethyl malonate. The dimethyl ester of malonic acid was selected for study since this choice conveniently minimized the number of ¹H and ¹³C NMR resonances and avoids unnecessary spectral complexity. Two sets of standard reaction conditions were employed

Scheme I. Reactions of 2f-5f,g with Dimethyl Malonate Anion^a

	,CO2Me Na⁺ CH CO2Me	MeO ₂ C MeO ₂ C		
Substrate	Product	Method A	Method B	
2f	6f	40	52	
3f	7f	0	28	
4 f	8f	70	41	
5f	9f	77 ^b	24 ^{b,c}	
5 g	10g	71 ^b	19 ^{b,d}	

^aYields represent chromatographically isolated products; ^bConjugate addition leads to formation of pyrones **9f** or **g**; ^cAlso gives 11% **10f**; ^dAlso gives 16% **10g**.



for these conjugate additions. Method A involved the generation of the sodium anion of dimethyl malonate with NaH in THF followed by the addition of a solution of the unsaturated ketone in furan. After 2 h, the reaction was quenched and acidified with 1 M HCl. Method B utilized

⁽¹⁾ Author to whom correspondence should be addressed concerning the X-ray analyses.

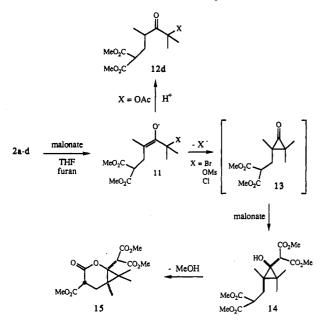
 ^{(2) (}a) Bergman, E. D.; Ginsburg, D.; Pappo, R. Org. React. 1959, 10, 179.
 (b) Oare, D.; Heathcock, C. Top. Stereochem. 1991, 20, 87.

Table I. Reaction of 2a-d with Malonate Anion

substrate		14ª	12	15
2a (Br)	method A	44 ^b	0	0
b (OMs)		10°	0	25
c (Cl)		12 ^d	0	25
d (OAc)		0e	55	0
2a (Br)	method B	12^{f}	0	0
b (OMs)		04	0	0
c (Cl)		11 4 f	0	0
d (OAc)		0	38 ^h	0

^aObtained as a single diastereomer, yields are based on malonate. ^b33% **2a** recovered. ^c20% **2b** recovered. ^d12% **2c** recovered. ^c16% **2d** recovered. ^fNo other detectable products. ^g63% **2e** recovered due to sulfonate saponification. ^h12e was the isolated product.

Scheme II. Reaction Pathways of 2a-d



the classical Michael conditions of an alkoxide base in an alcohol. Thus, the sodium anion of dimethyl malonate was generated with sodium methoxide in methanol followed by the addition of a solution of the α,β -unsaturated ketone in furan, with workup as in method A. The results are summarized in Table I. Under the conditions of Method A, the acyclic enones 2f and 4f underwent conjugate addition to give 6f and 8f but cyclic enone 3f returned only unchanged starting material. The ynones 5f and 5g did not react with sodium dimethyl malonate to give the expected conjugate adducts, but instead gave good yields of the 2-pyrones 9f and 9g, respectively.³ In contrast to the results of method A. under the conditions of method B all of the parent substrates gave conjugate addition products. While the yield of conjugate adduct 6f was improved slightly, the yield of 8f from 4f dropped off considerably. Under these conditions, even the cyclic enone 3f afforded conjugate adduct 7f. Reaction of the ynones under these conditions led again to 2-pyrone formation although vields decreased at least in part due to competitive methanol addition to give 10f and g. So that the reactivity could be compared both within and between substrate series, the standard conditions were adhered to, even though methods A and B were not optimal for all substrates.

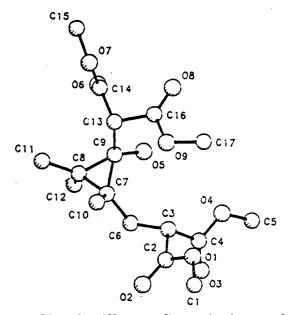


Figure 1. Pluto plot of X-ray coordinates of cyclopropanol 14.

When **2a** was treated with the sodium dimethyl malonate (method A), the only product observed was the cyclopropanol 14, which was isolated as a single diastereomer in 44% yield (Scheme II and Table I). Cyclopropanol 14 is most likely formed by conjugate addition of malonate anion followed by loss of the bromide to give the cyclopropanone 13 which then adds a second equivalent of malonate anion resulting in 14. Replacement of bromide by mesylate or chloride also resulted in formation of cyclopropanol 14, and in no case was an intermediate adduct (12a-c) isolated from the reactions of substrates 2a-c. The gross structure of cyclopropanol 14 was assigned from the standard spectral data; the relative stereochemistry was determined by X-ray crystallographic analysis from which the trans relationship of the malonate groups was readily apparent (Figure 1).⁴ In contrast to the results obtained with 2a-c, the α -acetoxy enone 2d reacted under the same conditions to give only the 1,4-conjugate addition product 12d. Once the reactivity of the substrates in the 2 series had been uncovered, some further studies were carried out to optimize the yields of cyclopropanol 14. By varying the number of equivalents of base and ratio of dimethyl malonate to substrate, it was found that the reaction of 1.5 equiv of the sodium anion of dimethyl malonate and 1.5 equiv of dimethyl malonate with either 2a or c leads to 14 in yields of 60 or 57%, respectively.

When substrates 2b and c were treated under the conditions of Method A, similar results were obtained (Table I). Upon acidic, methanolic workup, lactonization was apparently facile and the δ -lactone 15 was produced in addition to cyclopropanol 14. Under the conditions of method B, the sulfonate ester 2b was hydrolyzed to the α -hydroxy enone 2e. With the acetate 2d, conjugate addition was also accompanied by acetate hydrolysis to give hydroxy ketone 2e.

When hybrids 4a-d, possessing nucleofuges at primary sites, were treated with the sodium dimethyl malonate, processes involving 1,2-addition and direct displacement (at least formally) of the nucleofuge were prevalent as might be expected due to the reduced steric hindrance around the carbonyl and α carbons. In this series of substrates, differences in reactivity as a result of nucleofuge

⁽³⁾ The reaction of ynones with malonate anions is one of the more common methods for the synthesis of 2-pyrones. See: Shusherina, N. P.; Dmitrieva, N. D. Luk'yanets, E. A.; Levina, R. Y. Russ. Chem. Rev. **1967**, *36*, 175.

⁽⁴⁾ Full crystallographic parameters on both cyclopropanols mentioned in this article can be found in the supplementary material.



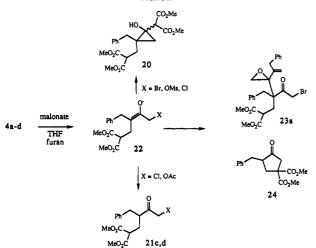
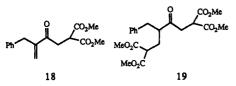


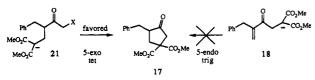
Table II. Reactions of 4a-d with Malonate

			20ª	21	
-	4a (Br)	method A	20 ^b	0	
	b (OMs)		56°	0	
	c (Cl)		8 ^d	14	
	d (OAc)		0	30e	

^a Isolated as a 2:1 mixture of diastereomers. ^bAlso 24 (22%), 23a (12%), 18 (5%), and 19 (3%). ^c6% 4b recovered. ^d47% 4c recovered. ^e42% 4d recovered.



variation were more pronounced (Scheme III and Table II). When treated under the conditions of method A. bromide 4a gave many products arising from several different reaction modes. The major products were the diastereomeric cyclopropanols 20, cyclopentanone 24, and the epoxide 23a, along with smaller amounts of the simple displacement product 18 and the displacement and conjugate addition adduct 19. Most of these products can be explained (Scheme III) by an initial conjugate addition leading to the enolate 22, which has several fates. One of these is loss of bromide leading to a cyclopropanone which is then trapped by malonate anion to give the cyclopropanols 20. Conjugate addition-induced aldol condensation followed by collapse of the bromohydrin gives epoxide 23a. Based on Baldwin's empirical rules,⁵ ring closure of the simple displacement product $(18 \rightarrow 17)$ should be a disfavored 5-endo-trig process, but ring closure occurring as a 5-exo-tet intramolecular displacement (21 \rightarrow 17) or by trapping of an oxyallyl cation (5-exo-trig) should be favored. While the simple protonated form of 18 was obtained, the protonated form of anion 21 was not observed.

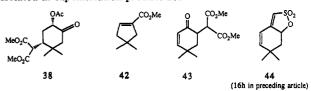


Fortunately, the other members of this series were a little more controlled in their reactivity. The mesylate 4b

Table III. Reaction of 3a-d with Malonate Anion

		32/33	27	26
Ba (Br) ^a	method A	19	21	0
b (OMs) ^b		14	15	0
c (CI) ^f		20	22	0
d (OAc)		0	0	48 ^d
		36	37	35
3a (Br)e	method B	8	7	8
b (OMs) ^f		18	17	0
c (Cl) ^g		14	13	14
$\mathbf{d} (\mathbf{OAc})^h$		0	0	25'

^aTraces of 3j and 32% 3a recovered. ^bAlso 44 (3%) and 26% 3b recovered. ^c33% 3c recovered. ^dObtained as an 11:1 mixture of 38 and 26d, 33% 3d recovered. ^e27 (3%) and 43 (5%). ^f27 (7%) and 44 (3%). ^eAlso obtained 27 (4%). ^hAlso obtained 3e (35%). ⁱIsolated as saponification product 26e.



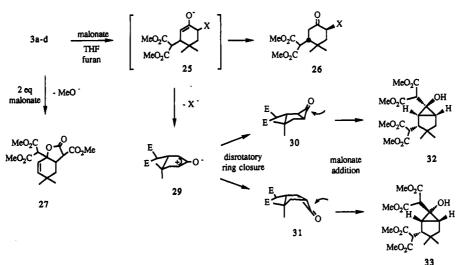
gave the diastereomeric cyclopropanols 20 as the only products, while the chloride gave a mixture of the cyclopropanols 20 and the simple 1,4-adduct 21c. The only product formed from acetate 4d was the 1,4-adduct 21d. Under the conditions of method B, these substrates each gave rise to an intractable mixture.

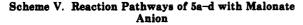
The cyclic series of substrates 3 with nucleofuges at secondary sites was expected to give less competing 1,2addition and displacement chemistry than was observed with the series 4a-d. By comparison to the substrate series 4a-d, the effect of nucleofuge variation was somewhat attenuated in the 3a-d series. The results are shown in Table III. Reaction of 3a-c under the conditons of method A gave rise to a mixture of the diastereomeric cyclopropanols 32 and 33, γ -lactone 27 (Scheme IV), and only traces of the Favorskii esters 36 and 37. The cyclopropanols 32 and 33 are thought to arise by conjugate

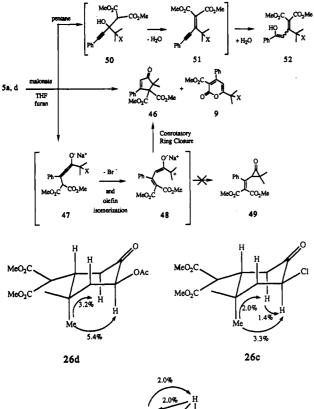
addition followed by ionization of the nucleofuge to give the oxyallyl cation 29. Disrotatory ring closure of the oxyallyl cation can then occur to give the diastereomeric cyclopropanones 30 and 31 which are trapped with malonate on the convex face to give 32 and 33. The structural assignment of these compounds was aided by X-ray crystallography (vide infra). The γ -lactone 27 results from malonate displacement of the nucleofuge, 1.2-addition of malonate, and lactonization with loss of methoxide. The formation of this product did not reflect any unique reactivity of the hybrid aside from that of the component α -nucleofuge functionality. The methoxide thus generated played a role in the formation of some of the reaction products. Some of the cyclopropanones 30/31 generated as outlined above reacted with methoxide ion and underwent subsequent fragmentation to give the ring contracted Favorskii esters 36 and 37 as mixtures of cis and trans isomers. The acetate of this series of substrates (3d) was also interesting. As expected, the acetate was not ionized after conjugate addition, but only a small amount of the simple 1,4-adduct 26d was obtained. The major product isolated was instead the isomeric α -acetoxy ketone

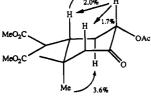
⁽⁵⁾ Baldwin, J. J. Chem. Soc., Chem. Commun. 1976, 734.

Scheme IV. Reaction Pathways in the Reaction of 3a-d with Malonate Anion









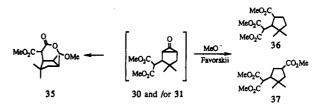
38

Figure 2. NOE data on 26d, 26c and 38.

38, where the carbonyl and acetate have been transposed. The different connectivity pattern of these isomers was readily established by decoupling experiments and their existence as chair-like conformers was supported by the NOE data shown in Figure 2. This transposition presumably takes place by acyl transfer through an enediolate-like intermediate by analogy to the well known α -ketol rearrangement.

By varying the number of equivalents of base and dimethyl malonate to substrate, it was found that the reaction of 1.5 equiv of the sodium anion of dimethyl malonate and 1.5 equiv of dimethyl malonate with **3a** led to the diastereomeric cyclopropanols **32/33** in **64%** yield with <5% of the γ -lactone **27** as the only other product observed. The chloroenone **3c** under the same conditions, also gave improved yields **32/33** (34%) along with the formation of conjugate adduct **26c** in 19% yield.

When 3a-c were treated under the conditions of method B, the major products were tricyclic acetal 35, the cis and trans Favorskii esters 36 and 37, and lactone 27. The bromoenone 3a also gave a 5% yield of the known ring contracted unsaturated ester 42, presumably arising via a pathway similar to that previously described by Takeda.⁶



The gross structure of the cyclopropanols was established by evaluation of the standard spectroscopic data, with the connectivity relationships coming from 1-D decoupling experiments. The relative configuration of one of the diastereomers (32) was determined by X-ray crystallographic analysis. The PLUTO plot (Figure 3), clearly shows that the six-membered ring of the bicyclo[3.1.0]hexane adopts a chairlike conformation with both of the malonate moieties occupying more or less equatorial positions with respect to the six-membered ring. Diastereomer 33 is thought to be epimeric at C-2 and exists either in a chair-like conformation with the C-2 malonate in a pseudoaxial position or in a twist-boat conformation with the C2 malonate oriented pseudoequatorially, with the C6 malonate moiety in either case occupying a pseudoequatorial position. The rationale for this assignment is 2-fold. First, the $J_{1,2}$ coupling constants of the two diastereomers are 4 Hz for 32 and 7 Hz for 33. This suggests that the dihedral angles for 32 and 33 are fairly different. Indeed,

⁽⁶⁾ Tsuboi, S.; Nagae, H.; Yamoto, H.; Takeda, A. Bull. Chem. Soc. Jpn. 1987, 60, 836.

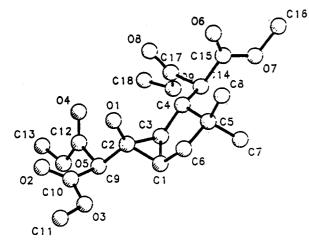


Figure 3. Pluto plot of X-ray coordinates of cyclopropanol 32.

Table IV. Reaction Products of 5a-d with Malonate Anion

		46	9	52	
5a (Br) ^a	method A	30	7	0	
d (OAc)	pentane as solvent	0	5	36	
a (Br) ^b		9	10	26	

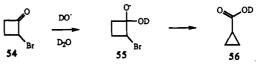
^a5% recovered 5a. ^b6% recovered 5a.

on examination of Drieding models, it can be seen that the dihedral angle H1-C1-C2-H2 for 32 is $\approx 110^{\circ}$ while the corresponding angle in the 33 is $\simeq 15^{\circ}$ or 40° depending on whether the relatively flat 5-membered ring of the bicyclo[3.1.0]hexane is deformed towards a chair- (33-I) or boatlike (33-II) conformation.

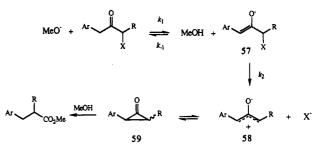
This assignment is further supported by the 8-Hz coupling constant found in 35, which, by virtue of its tricyclic skeleton, must be epimeric to 32 at C-2 and possesses the same dihedral relationship for H1-H2 ($J \simeq 15$ Hz) as that proposed for the chairlike conformer of 32. Second, examination of Drieding models indicates that for a configuration in which the C-6 malonate is pseudoaxial and on the concave side of the bicyclo[3.1.0]hexane ring system considerable steric interaction in either a chair- or a boatlike conformation would be present. This seems mechanistically reasonable since conjugate addition may occur followed by ionization of X⁻ from the resulting enolate to give a planar 2-oxyallyl cation (29, Scheme IV). This can then undergo disrotatory ring closure to give diastereomeric cyclopropanones which are trapped with malonate in an equatorial manner to give the diastereomeric cyclopropanols 32 and 33.

The reaction of bromo ynone 5a with malonate anion under the conditions of method A gave the cyclopentenone 46 and bromopyrone 9a (Scheme V and Table IV). We speculate that conjugate addition of the malonate anion gives an enolate 47 which can subsequently lose bromide ion to give a pentadienyl cation 48, which undergoes conrotatory ring closure to cyclopentenone 46. Prior to the loss of bromide, 47 can close to bromopyrone 9a as a competitive process. Inadvertently, a reaction of the bromoynone was run utilizing pentane as a solvent. This change in solvent led to a change in product formation with the major product being the conjugated enol 52. Under these conditions, the acetoxy ynone 5d also led to formation of pyrone 9d, but the major product was 52. 1,2-Addition of malonate anion followed by dehydration could give an enyne 51 which can then rehydrate to give the fully conjugated enol 52.

Scheme VI. Semibenzylic Mechanism



Scheme VII. Dewar Mechanism for the Favorskii Rearrangement



propanols with the better nucleofuges (Br, OMs, and Cl), while the simple conjugate adduct is obtained with the acetates and sometimes the chlorides. The formation of the cyclopropanols in these reactions represents an interruption of a "conjugate" Favorskii reaction.

Discussion

It is appropriate to address the relationship of this work to the "normal" Favorskii rearrangement. Originally described in 1894,⁷ the Favorskii rearrangement⁸ has been the subject of many studies, and yet, controversy over the mechanism of this reaction persists. While at least five mechanisms have been proposed, two have been supported by most of the evidence and remain as the accepted mechanisms. In cases where α' hydrogens are available, the Loftfield cyclopropanone mechanism is generally preferred.⁹ The second accepted mechanism is usually operative in cases where the Loftfield mechanism is prohibited either due to an absence of α' hydrogens or structural features that prevent cyclopropanone formation (Scheme VI).¹⁰ The controversy about the mechanism of the Favorskii reaction is not centered upon the differences between these two mechanisms but on the details of how the symmetrical intermediate is reached in the cyclopropanone mechanism. Shortly after the Loftfield mechanism appeared, Dewar¹¹ proposed the detailed mechanism shown in Scheme VII, including the intermediacy of a dipolar ion. Considerable support for this has been provided, primarily by Bordwell.¹² The first step has $(\rightarrow 57)$ been shown to be reversible for R = H by deuterium exchange studies and there is a moderate nucleofuge effect $(k_{\rm Br/Cl} \simeq 10^2)$. Positive charge character at the transition state was demonstrated by a large negative ρ value of -2.37, and rate acceleration was observed with increasing ionic strength of the medium. While this model has been fairly well supported and accepted, there is evidence which suggests that not all Favorskii rearrangements proceed through a delocalized intermediate.¹³

In summary, it has been shown that the reaction of α -nucleofuge α',β' -unsaturated ketones with anions of malonic esters generally leads to the formation of cyclo-

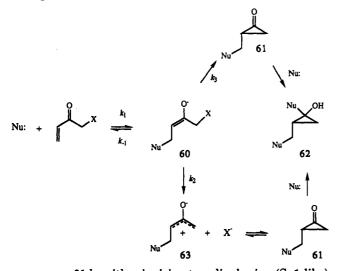
⁽⁷⁾ Favorskii, A. E. J. Russ. Phys. Chem. Soc. 1894, 26, 559.

⁽⁸⁾ For the most recent reviews and leading references to the older literature see: (a) Kende, A. S. Org. React. 1960, 11, 261. (b) Akhrem, A. A.; Ustynyuk, T. K.; Titov, Y. A. Russ. Chem. Rev. 1970, 39, 732. (c)
 Chenier, P. J. J. Chem. Educ. 1978, 55, 286.
 (9) (a) Loftfield, R. B. J. Am. Chem. Soc. 1950, 72, 632. (b) Loftfield,

⁽a) Lottheid, R. B. J. Am. Chem. Soc. 1950, 72, 632.
(b) Lottheid, R. B. J. Am. Chem. Soc. 1950, 72, 632.
(c) Selman, S.; Eastham, J. F. Quart. Rev. (London) 1960, 14, 221.
(11) Burr, J. G.; Dewar, M. J. S. J. Chem. Soc. 1954, 1201.
(12) (a) Bordwell, F. G.; Frame, R. R.; Scamehorn, R. G.; Strong, J. G.;
Meyerson, S. J. Am. Chem. Soc. 1967, 89, 6704.
(b) Bordwell, F. G.;
Scamehorn, R. G. J. Am. Chem. Soc. 1968, 90, 6749.
(c) Bordwell, F. G.;
Scamehorn, R. G. J. Am. Chem. Soc. 1968, 90, 6751.
(d) Bordwell, F. G.; Strong, J. G. J. Org. Chem. 1973, 38, 579.

In the conjugate Favorskii reactions of the hybrid substrates described herein, several trends have appeared. The reactions involving bromides (2a, 3a, 4a, or 5a) or mesylates (2b, 3b, or 4b) did not produce products of conjugate addition without loss of the nucleofuge, regardless of the degree of substitution at the α' carbon. In reactions of the acetates (2d, 3d, 4d, or 5d), conjugate addition was not accompanied by loss of the nucleofuge. Looking to the chlorides (2c, 3c, or 4c), intermediate reactivity was observed. When the chloride was at a tertiary center as in 2c, conjugate addition and loss of chloride occurred, but with the secondary chloride 3c, traces of the conjugate adduct were obtained and the yield increased under the optimized conditions. Finally, reaction of substrate 4c with the chloride at a primary center yields more of the conjugate adduct than of the products resulting from loss of the nucleofuge 20.

These results seem to fit Bordwell's mechanism quite well, where the first step involving reversible deprotonation has now been replaced with reversible conjugate addition. This generates enolate 60 which can proceed on to cyclo-



propanone 61 by either ionizing to a dipolar ion (S_N 1-like) (k_2) or by internal S_N2 displacement (k_3) . Once formed, the cyclopropanone rapidly accepts a nucleophile to give the observed cyclopropanol 62. Assuming that halide loss is involved in the rate-determining step on the pathway to cyclopropanols and drawing upon analogy to standard nucleophilic substitution trends, as the steric hindrance surrounding an alkyl halide increases the relative ease of solvolysis versus displacement should also increase. In the conjugate addition reactions, the chloride was the only nucleofuge that both was lost and also not lost after conjugate addition. Qualitatively following the ratio of conjugate addition with loss of chloride to conjugate addition without loss of chloride, the ratio decreases going from tertiary to secondary to primary. In other words, the tertiary chloride is lost more readily than the secondary chloride which is lost more readily than the primary chloride, suggesting that halide loss more closely resembles ionization to the dipolar ion.

Conclusion

The scope and limitations of the reaction of α -nucleofuge α',β' -unsaturated ketones with nucleophiles as new methodology for the generation of 2-oxyallyl cations has been examined. The substrates with good nucleofuges give

cyclopropanols upon reaction with sodium dimethyl malonate by way of a conjugate Favorskii reaction. In reactions with substrates containing the poorer nucleofuge (acetoxy), conjugate addition proceeded without entering the Favorskii manifold. The conjugate addition reactions involving the hybrid substrates have also shed some light on the release of the nucleofuge in Favorskii reactions, and it is suggested that loss occurs to give a 2-oxyallyl cation but that disrotatory ring closure is facile and the only products observed result from nucleophilic trapping of cyclopropanones to yield cyclopropanols in fair to good yield.

Experimental Section

General Methods. See the preceding paper in this issue. General Procedures for the Reaction of α' -Nucleofuge $\alpha_n\beta$ -Unsaturated Ketones with Sodium Dimethyl Malonate. Method A. To a stirred suspension of 50.4 mg (2.1 mmol) of NaH (from 50% dispersion) in 2 mL of THF at 0 °C was added 228 μ L (264 mg, 2.0 mmol) of dimethyl malonate. After 15 min, 726 μ L (680 mg, 10 mmol) of furan was added (furan addition was accompanied by the solution turning a faint rose color and a decrease in malonate salt solubility), followed 10 min later by 2.0 mmol of 2 mL of 7% HCl. The reaction mixture was extracted with ether, washed with dilute aqueous HCl and then with saturated NaCl solution, dried, and evaporated.

Method B. To 2.5 mL of methanol in an addition funnel was added 46 mg (2.0 mmol) of sodium. After hydrogen evolution stopped, 228 μ L (264 mg, 2.0 mmol) of dimethyl malonate was added. After 10 min, this mixture was added dropwise over 1 h to a room-temperature solution of 2.0 mmol enone in 2.0 mL of furan at room temperature. The reaction was quenched and worked up after 2 h. The reaction was quenched with dilute aq HCl, extracted with ether, washed with dilute HCl and then with saturated NaCl solution, dried, and evaporated. Purification of the reaction products was accomplished by semipreparative HPLC on silica using hexane/EtOAc mixtures as eluants.

Methyl 2-(methoxycarbonyl)-4,6-dimethyl-5-oxoheptanoate (6f): IR (neat) 2971, 2879, 1755, 1737, 1711 cm⁻¹. ¹H NMR (300 MHz) δ 1.06 (overlapping d, 9 H), 1.87 (m, 1 H, J = 14, 9, 6 Hz), 2.27 (m, 1 H, J = 14, 8, 7 Hz), 2.27 (overlapping m, 2 H), 3.35 (dd, 1 H, J = 9, 7 Hz), 3.73 (s, 3 H), 3.73 (s, 3 H); ¹³C NMR (75 MHz) δ 16.9, 17.8, 18.2, 31.0, 39.1, 41.4, 49.1, 52.3, 169.2, 169.5, 216.6; MS isobutane chemical ionization 245 (M⁺ + 1); HRMS calcd for C₁₁H₁₇O₄ 213.1127, found 213.1130 (M⁺ - OCH₃).

 $(1S^*, 2R^*)$ -2-[2,2-Bis(methoxycarbonyl)ethyl]-1,1-bis-(methoxycarbonyl)-2,3,3-trimethylcyclopropanol (14): mp 123-124 °C; IR (melt) 3514, 3000, 2956, 1735 (broad), 1437, 1326, 1282, 1198, 1154 cm⁻¹; ¹H NMR (300 MHz) δ 0.97 (s, 3 H), 0.98 (s, 3 H), 1.10 (s, 3 H), 2.14 (dd, 1 H, J = 15, 6 Hz), 2.23 (dd, 1 H, J = 15, 6 Hz), 3.44 (s, 1 H), 3.51 (t, 1 H, J = 6 Hz) 3.61 (s, 1 H), 3.72 (s, 3 H), 3.73 (s, 3 H), 3.77 (s, 3 H), 3.79 (s, 3 H); ¹³C NMR (75 MHz) δ 15.0, 16.0, 18.6, 26.1, 27.3, 29.7, 49.8, 52.8, 64.0, 169.5, 169.7, 170.5; MS isobutane chemical ionization 375 (M⁺ + 1); HRMS calcd for C₁₆H₂₃O₈ 343.1393, found 343.1388 (M⁺ - OCH₃).

Optimization Experiments. A series of reactions were carried out with **2a** and **2c** where the ratio of malonate anion to substrate was varied. It was found that the reaction of 1.5 equiv of sodium dimethyl malonate and 1.5 equiv of dimethyl malonate with 1 equiv of the substrate gave, from **2a** and **2c**, 60 and 57% yield of cyclopropanol 14, respectively. Compound 15: IR (melt) 2957, 1756, 1740, 1164, 916, 733 cm⁻¹; ¹H NMR (300 MHz) δ 1.13 (s, 3 H), 1.14 (s, 3 H), 1.20 (s, 3 H), 1.98 (dd, 1 H, J = 14, 5 Hz), 2.29 (t, 1 H, J = 14 Hz), 3.52 (s, 1 H), 3.79 (s, 6 H), 3.81 (s, 3 H), 3.85 (dd, partly obscured, J = 14, 5 Hz); ¹³C NMR (75 MHz) δ 1.65, 17.2, 18.3, 22.9, 27.6, 29.8, 44.7, 51.1, 52.4, 52.8, 53.0, 70.6, 167.0, 168.2, 168.9, 169.6; HRMS calcd for C₁₆H₂₃O₈ 343.1393, found 343.1399 (M⁺ + H).

Methyl 6-acetoxy-2-(methoxycarbonyl)-4,6-dimethyl-5oxoheptanoate (6d): IR (neat) 2987, 2956, 1738 (broad), 1256, 1156, 1020 cm⁻¹; ¹H NMR (300 MHz) δ 1.04 (d, 3 H, J = 7 Hz),

⁽¹³⁾ See part 4 of this series and earlier papers. Engel, Ch. R.; Lachance, P.; Capitaine, J.; Zee, J.; Mukherjee, D.; Mêrand, Y. J. Org. Chem. 1983, 48, 1954.

1.44 (s, 3 H), 1.46 (s, 3 H), 1.83 (m, 1 H), 1.99 (s, 3 H), 2.15 (m, 1 H), 2.94 (t, 1 H, J = 7 Hz), 3.36 (m, 1 H, J = 7 Hz), 3.66 (s, 3 H), 3.67 (s, 3 H); ¹³C NMR (75 MHz) δ 17.5, 20.9, 23.7, 24.0, 32.1, 37.1, 48.7, 52.2, 83.4, 169.3, 169.4, 170.0, 211.6; HRMS calcd for C₁₄H₂₃O₇ 303.1443, found 303.1447 (M⁺ + H).

Methyl 6-hydroxy-2-(methoxycarbonyl)-4,6-dimethyl-5oxoheptanoate (6e): IR (neat) 3507, 3424, 2977, 1754, 1737, 1711, 1198 cm⁻¹; ¹H NMR (300 MHz) δ 1.07 (d, 3 H, J = 6 Hz), 1.30 (s, 3 H), 1.31 (s, 3 H), 1.92 (m, 1 H), 2.17 (m, 1 H), 3.10 (m, 1 H, J = 7 Hz), 3.28 (t, 1 H, J = 7 Hz), 3.67 (s, 3 H), 3.68 (s, 3 H); ¹³C NMR (75 MHz) δ 17.7, 26.1, 32.1, 36.7, 49.1, 52.4, 76.6, 169.2, 169.3, 217.2; HRMS calcd for C₁₂H₂₁O₆ 261.1338, found 261.1342 (M⁺ + H).

3-[Bis(methoxycarbonyl)methyl]-4,4-dimethylcyclohexanone (7f): mp 56–58 °C; IR (neat) 2960, 1754, 1737, 1717, 1437, 1152 cm⁻³; ¹H NMR (300 MHz) δ 1.04 (s, 3 H), 1.05 (s, 3 H), 1.67 (overlapping m, 2 H), 2.26–2.68 (overlapping m, 5 H), 3.62 (d, 1 H, J = 5 Hz), 3.72 (s, 3 H), 3.74 (s, 3 H); ¹³C NMR (75 MHz) δ 19.9, 28.5, 33.0, 37.7, 39.9, 40.3, 45.3, 51.8, 52.3, 52.8, 168.9, 169.1, 209.8; HRMS calcd for C₁₃H₂₀O₅ 256.1311, found 256.1308.

(1R*,2R*,5R*,6R*)-2,6-Bis[bis(methoxycarbonyl)methyl]-3,3-dimethylbicyclo[3.1.0]hexan-6-ol (32): mp 87-88.5°C; IR (melt) 3510, 2958, 1737, 1437, 1254, 1160 cm⁻¹; ¹H NMR $(300 MHz) <math>\delta$ 0.87 (s, 3 H), 0.99 (s, 3 H), 1.36 (dd, 1 H, J = 8, 4Hz), 1.46 (dd, 1 H, J = 13, 7 Hz), 1.56 (ddd, 1 H, J = 8, 7, 3 Hz), 1.67 (td, 1 H, J = 13, 3 Hz), 2.41 (dd, 1 H, J = 11, 4 Hz), 2.88 (s, 1 H), 3.48 (d, 1 H, J = 11 Hz), 3.69 (s, 1 H), 3.71 (s, 3 H), 3.72 (s, 3 H), 3.76 (s, 3 H), 3.80 (s, 3 H); ¹³C NMR (75 MHz) δ 23.0, 25.2, 28.3, 34.2, 39.2, 45.3, 52.3, 52.4, 52.5, 52.6, 52.7, 53.0, 58.8, 62.5, 168.4, 168.7, 168.9, 169.2; MS isobutane chemical ionization 387 (M⁺ + H); HRMS calcd for C₁₈H₂₇O₉ 355.1393, found 355.1387 (M⁺ - OCH₃).

(1R *, 2S *, 5R *, 6R *) - 2, 6-Bis[bis(methoxycarbonyl)methyl]-3,3-dimethylbicyclo[3.1.0]hexan-6-ol (33): mp 89-90 °C; IR (melt) 3501, 2957, 1750, 1736, 1435, 1256, 1239, 1160 cm⁻¹; ¹H NMR (300 MHz) (C₆D₆) δ 0.88 (s, 3 H), 1.17 (s, 3 H), 1.22 (td, 1 H, J = 8, 8, 2 Hz), 1.55 (dd, 1 H, J = 13, 7 Hz), 1.67 (t, 1 H, J = 7.5 Hz), 1.85 (dd, 1 H, J = 13, 2 Hz), 2.70 (s, 1 H), 3.19 (s, 3 H), 3.24 (s, 3 H), 3.28 (dd, 1 H, J = 12, 7 Hz), 3.42 (s, 3 H), 3.49 (s, 3 H), 4.04 (s, 1 H), 4.24 (d, 1 H, J = 12 Hz); ¹³C NMR (75 MHz) (C₆H₆) δ 23.6, 28.8, 34.0, 34.1, 40.5, 40.7, 48.3, 50.1, 51.8, 52.0, 52.2, 52.7, 59.1, 63.0, 168.5, 168.8, 169.4, 170.1; MS isobutane chemical ionization 387 (M⁺ + H); HRMS calcd for C₁₈H₂₇O₉ 355.1393, found 355.1394 (M⁺ - OCH₃).

1-[Bis(methoxycarbonyl)methyl]-4,4-dimethyl-7-(methoxycarbonyl)-9-oxabicyclo[4.3.0]non-2-en-8-one (27): mp 131-132 °C; IR (KBr) 3546, 3457, 2970, 2850, 1783, 1734, 1314, 1199, 1165 cm⁻¹; ¹H NMR (300 MHz) δ 0.93 (s, 3 H), 1.07 (s, 3 H), 1.99 (br dd, 1 H, J = 19, 5 Hz), 2.16 (br d, 1 H, J = 19 Hz), 3.05 (dd, 1 H, J = 12, 7 Hz), 3.38 (d, 1 H, J = 12 Hz), 3.76 (s, 6 H), 3.82 (s, 3 H), 4.23 (s, 1 H), 5.19 (d, 1 H, J = 7 Hz), 5.95 (dd, 1 H, J = 5, 2 Hz); ¹³C NMR (75 MHz) δ 26.4, 27.4, 31.5, 34.9, 48.0, 48.7, 52.6, 52.8, 52.9, 53.9, 76.9, 127.0, 130.9, 167.9, 168.1, 168.7, 171.1; HRMS calcd for C₁₇H₂₂O₈ 354.1315, found 354.1317.

6-[Bis(methoxycarbonyl)methyl]-4,4-dimethylcyclohex-2-en-1-one (43): IR (KBr) 2959, 1753, 1736, 1682 cm⁻¹; ¹H NMR (300 MHz) δ 1.17 (s, 3 H), 1.25 (s, 3 H), 1.78 (ddd, 1 H, J = 13, 5, 2 Hz), 1.99 (t, 1 H, J = 14 Hz), 3.36 (m, 1 H), 3.76 (s, 3 H), 3.79 (s, 3 H), 3.87 (d, 1 H, J = 7 Hz), 5.86 (d, 1 H, J = 10 Hz), 6.64 (dd, 1 H, J = 10, 2 Hz); ¹³C NMR (75 MHz) δ 25.1, 30.5, 33.8, 39.2, 43.4, 51.4, 52.6, 52.7, 125.8, 159.1, 168.7, 169.0, 197.6; HRMS calcd for C₁₃H₁₈O₅ 254.1154, found 254.1158.

2-[Bis(methoxycarbonyl)methyl]-3,3-dimethyl-1-(methoxycarbonyl)cyclopentane (36-I). The higher R_f vicinally substituted isomer: R_f 0.65; IR (neat) 2954, 2878, 1758, 1735, 1437, 1193, 1166 cm⁻¹; ¹H NMR (300 MHz) (C₆D₆) δ 0.91 (s, 3 H), 1.11 (s, 3 H), 1.23 (m, 1 H), 1.42 (m, 1 H), 1.63 (m, 1 H), 1.85 (m, 1 H), 2.68 (dd, 1 H, J = 12, 9 Hz), 3.26 (s, 3 H), 3.30 (s, 3 H), 3.32 (s, 3 H), 3.42 (m, 1 H), 4.15 (d, 1 H, J = 12 Hz); ¹³C NMR (75 MHz) (C₆H₆) δ 21.7, 26.7, 28.8, 40.4, 42.4, 46.3, 50.0, 50.6, 51.4, 51.5, 169.0, 169.3, 175.3; MS isobutane chemical ionization 287 (M⁺ + H); HRMS calcd for C₁₃H₁₉O₅ 255.1232, found 255.1230 (M⁺ - OCH₃).

2-[Bis(methoxycarbonyl)methyl]-3,3-dimethyl-1-(methoxycarbonyl)cyclopentane (36-II). The lower R_f vicinally substituted isomer: R_f 0.54; IR (neat) 2956, 2878, 1758, 1738, 1436, 1153 cm⁻¹; ¹H NMR (300 MHz) δ 0.85 (s, 3 H), 1.00 (s, 3 H), 1.45 (m, 1 H), 1.59–1.77 (overlapping m, 2 H), 1.97 (m, 1 H), 2.71 (t, 1 H, J = 9 Hz), 2.91 (m, 1 H), 3.39 (d, 1 H, J = 9 Hz), 3.64 (s, 6 H), 3.70 (s, 3 H); ¹³C NMR (75 MHz) δ 22.2, 27.6, 28.0, 41.6, 42.2, 46.7, 51.0, 51.7, 52.3, 52.3, 52.5, 169.1, 176.6; MS isobutane chemical ionization 287 (M⁺ + H); HRMS calcd for C₁₃H₁₉O₅ 255.1232, found 255.1230 (M⁺ - OCH₃).

4-[Bis(methoxycarbonyl)methyl]-3,3-dimethyl-1-(methoxycarbonyl)cyclopentane (37-I). The higher R_f 1,3-disubstituted isomer: R_f 0.60; IR (neat) 2956, 2876, 1760, 1737, 1436, 1205, 1159 cm⁻¹; ¹H NMR (300 MHz) (C₆H₆) δ 0.82 (s, 3 H), 0.86 (s, 3 H), 1.54 (dd, 1 H, J = 13, 10 Hz), 1.75 (dd, 1 H, J = 13, 6 Hz), 1.87 (m, 1 H), 2.19 (m, 1 H), 2.44 (m, 1 H), 2.55 (m, 1 H), 3.28 (s, 3 H), 3.29 (s, 3 H), 3.34 (s, 3 H), 3.40 (d, 1 H, J = 10 Hz); ¹³C NMR (75 MHz) (C₆H₆) δ 22.8; 28.8, 33.9, 40.4, 45.5, 48.4, 51.2, 51.8, 51.9, 53.4, 169.0, 175.8; MS isobutane chemical ionization 287 (M⁺ + H); HRMS calcd for C₁₃H₁₉O₅ 255.1232, found 255.1229 (M⁺ - OCH₃).

4-[Bis(methoxycarbonyl)methyl]-3,3-dimethyl-1-(methoxycarbonyl)cyclopentane (37-II). The lower R_f 1,3-disubstituted isomer: R_f 0.56; mp 36–37 °C; IR (neat) 2956, 2876, 1761, 1736, 1436, 1197, 1163 cm⁻¹; ¹H NMR (300 MHz) (C₆H₆) δ 0.66 (s, 3 H), 0.85 (s, 3 H), 1.52 (dd, 1 H, J = 13, 8 Hz), 1.61 (m, 1 H), 1.77 (dd, 1 H, J = 13, 10 Hz), 2.51–2.72 (overlapping m, 3 H), 3.25 (s, 3 H), 3.26 (s, 3 H), 3.33 (s, 3 H), 3.33 (d, 1 H, J = 10 Hz); ¹³C NMR (75 MHz) (C₆H₆) δ 20.6, 26.9, 32.7, 39.0, 41.2, 46.1, 47.2, 50.9, 51.3, 51.4, 53.3, 168.4, 168.6, 175.6; MS isobutane chemical ionization 287 (M⁺ + H); HRMS calcd for C₁₃H₁₉O₅ 255.1232, found 255.1234 (M⁺ – OCH₃).

Lactone (35): IR (neat) 2960, 2874, 1758, 1739, 1146, 1131 cm⁻¹; ¹H NMR (300 MHz) δ 0.92 (s, 3 H), 1.10 (s, 3 H), 1.50 (dd, 1 H, J = 14, 3 Hz), 1.72 (ddd, 1 H, J = 14, 7, 1 Hz), 1.97 (td, 1 H, J= 8, 8, 3 Hz), 2.14 (t, 1 H, J = 8 Hz), 2.58 (br d, 1 H, J = 8 Hz), 3.40 (d, 1 H, J = 2 Hz), 3.42 (s, 3 H), 3.75 (s, 3 H); ¹³C NMR (75 MHz) δ 23.0, 27.3, 30.7, 31.5, 38.3, 48.0, 49.5, 50.1, 52.9, 55.0, 98.0, 165.5, 168.8; HRMS calcd for C₁₃H₁₈O₅ 254.1154, found 254.1157.

Optimization Experiments. A series of reactions were run utilizing 3a and 3c to see if one reaction mode could be favored over the others. As was found with the acyclic enones 2a and 2c, the yield of cyclopropanols 32 and 33 could be considerably improved by reaction of 1.5 equiv of sodium dimethyl malonate and 1.5 equiv of dimethyl malonate with 1 equiv of the substrate. Under these conditions, 3a yielded 69% 32/33 (3:1) with <5% 27 and 12% recovered 3a. Also under these conditions, 3b gave 34% 32/33 (3:1), 29% recovered 3c, and 19% 26c.

trans-2-Chloro-4,4-dimethyl-5-[bis(methoxycarbonyl)methyl]cyclohexanone (26c): mp 78-80 °C; IR (thin film) 2959, 1734 br, 1440, 1157, 853 cm⁻¹; ¹H NMR (300 MHz) (C_6D_6) δ 0.58 (s, 3 H), 0.62 (s, 3 H), 1.46 (t, 1 H, J = 13 Hz), 1.69 (dd, 1 H, J = 13, 6 Hz), 2.36 (dt, 2 H, J = 14, 4, 4 Hz), 2.56 (t, 1 H, J = 14Hz), 2.70 (dd, 1 H, J = 14, 4 Hz), 3.25 (s, 3 H), 3.28 (s, 3 H), 3.39 (d, 1 H, J = 4 Hz), 4.10 (dd, 1 H, J = 13, 6 Hz); ¹³C NMR (75 MHz)(C_6D_6) δ 19.4, 28.2, 35.5, 40.1, 45.9, 51.4, 51.9, 51.9, 52.4, 61.2, 168.6, 168.7, 199.1; MS isobutane chemical ionization 291 (M⁺ + H); HRMS calcd for $C_{12}H_{16}ClO_4$ 259.0737, found 259.0734 (M⁺ - OCH₃).

cis -2-Acetoxy-5,5-dimethyl-4-[bis(methoxycarbonyl)methyl]cyclohexanone (38): mp 125–127 °C; IR (melt) 3461, 3443, 2962, 1744, 1728, 1435, 1370, 1149, 1062 cm⁻¹; ¹H NMR (300 MHz) δ 0.79 (s, 3 H), 1.03 (s, 3 H), 2.00 (q, 1 H, J = 13), 2.10 (s, 3 H), 2.18 (overlapping m, 2 H), 2.43 (d, 1 H, J = 13 Hz), 2.71 (dt, 1 H, J = 13, 4, 4 Hz), 3.59 (d, 1 H, J = 5 Hz), 3.69 (s, 3 H), 3.73 (s, 3 H), 5.19 (dd, 1 H, J = 13, 7 Hz); ¹³C NMR (75 MHz) δ 20.2, 20.7, 28.9, 35.1, 39.4, 46.1, 46.4, 51.3, 52.5, 53.0, 73.5, 168.7, 168.9, 202.6; HRMS calcd for $C_1^{5}H_{23}O_7$ 315.1444, found 315.1438 (M⁺ + H).

trans -2-Acetoxy-4,4-dimethyl-5-[bis(methoxycarbonyl)methyl]cyclohexanone (26d): IR (neat) 2959, 1747, 1733, 1437, 1240, 1156, 916, 733 cm⁻¹; ¹H NMR (300 MHz) δ 1.07 (s, 3 H), 1.15 (s, 3 H), 1.75 (t, 1 H, J = 13 Hz), 1.94 (dd, 1 H, J = 13, 6 Hz), 2.14 (s, 3 H), 2.48 (overlapping m, 2 H), 2.82 (t, 1 H, J = 15 Hz), 3.61 (d, 1 H, J = 4 Hz), 3.74 (s, 6 H), 5.29 (dd), 1 H, J = 13, 7 Hz); ¹³C NMR (75 MHz) δ 20.2, 20.5, 29.0, 30.8, 38.9, 43.5, 51.1, 52.4, 52.9, 54.6, 75.6, 168.7, 169.2, 169.7, 202.4; MS isobutane chemical ionization 315 (M⁺ + H); HRMS calcd for C₁₃H₂₀O₆ 272.1260, found 272.1255 (M⁺ + H – OCCH₃). **6-Isopropyl-3-(methoxycarbonyl)-4-phenyl-2-pyrone (9f)**: mp 83.5–85 °C; IR (melt) 2977, 2874, 1745, 1701, 1630, 1539, 1106, 1021, 772, 702 cm⁻¹; ¹H NMR (300 MHz) δ 1.25 (d, 6 H, J = 7Hz), 2.78 (m, 1 H, J = 7 Hz), 3.62 (s, 3 H), 6.12 (s, 1 H), 7.39 (m, 5 H); ¹³C NMR (75 MHz) δ 19.8, 32.7, 52.2, 102.8, 115.6, 126.9, 128.6, 130.0, 135.9, 155.2, 159.8, 165.3, 171.0; HRMS calcd for C₁₆H₁₆O₄ 272.1049, found 272.1054.

1-Methoxy-4-methyl-1-phenyl-1-penten-3-one (10f): IR (neat) 2968, 1688, 1683, 1588, 1245, 1060, 919, 848, 776, 756, 696 cm⁻¹; ¹H NMR (300 MHz) δ 1.11 (d, 6 H, J = 7 Hz), 2.61 (m, 1 H, J = 7 Hz), 3.84 (s, 3 H), 5.66 (s, 1 H), 7.42 (br s, 5 H); ¹³C NMR (75 MHz) δ 18.9, 41.4, 56.3, 99.9, 128.0, 128.9, 129.9, 135.6, 170.3, 202.9; HRMS calcd for C₁₃H₁₆O₂ 204.1150, found 204.1146.

6-tert-Butyl-3-(methoxycarbonyl)-4-phenyl-2-pyrone (9g): mp 139.5–141 °C; IR (melt) 2974, 1745, 1703, 1628, 1034, 1017, 773, 702 cm⁻¹; ¹H NMR (300 MHz) δ 1.32 (s, 9 H), 3.67 (s, 3 H), 6.18 (s, 1 H), 7.44 (m, 5 H); ¹³C NMR (75 MHz) δ 27.8, 36.4, 52.3, 101.8, 115.8, 127.0, 128.8, 130.0, 136.4, 155.2, 159.8, 165.4, 173.3; HRMS calcd for C₁₇H₁₈O₄ 286.1205, found 286.1201.

4,4-Dimethyl-1-methoxy-1-phenyl-1-penten-3-one (10g): IR (neat) 3060, 2968, 1681, 1608, 1589, 1570, 799, 758, 696 cm⁻¹; ¹H NMR (300 MHz) δ 1.22 (s, 9 H), 3.83 (s, 3 H), 5.91 (s, 1 H), 7.40 (br s, 5 H); ¹³C NMR (75 MHz) δ 26.9, 43.7, 56.0, 95.9, 127.7, 128.4, 129.4, 135.6, 170.7, 203.3; HRMS calcd for C₁₄H₁₈O₂ 218.1307, found 218.1307.

4,4-Bis(methoxycarbonyl)-5,5-dimethyl-3-phenylcyclopent-2-en-1-one (46): IR (neat) 2972, 1782, 1751, 1731, 1600, 1065, 756, 697 cm⁻¹; ¹H NMR (300 MHz) δ 1.34 (s, 6 H), 3.74 (s, 6 H), 6.66 (s, 1 H), 7.40 (m, 3 H), 7.50 (m, 2 H); ¹³C NMR (75 MHz) δ 24.4, 51.3, 53.2, 73.1, 126.5, 128.3, 128.4, 133.1, 136.7, 138.4, 165.9, 207.8; HRMS calcd for C₁₇H₁₈O₅ 302.1154, found 302.1157.

6-[2-(2-Bromopropyl)]-3-(methoxycarbonyl)-4-phenyl-2pyrone (9a): mp 118–120 °C; IR (neat) 3008, 2951, 1744, 1713, 1639, 1550, 1255, 1199, 1140, 1107, 1084, 839, 806, 769, 743, 732, 703, 693 cm⁻¹; ¹H NMR (300 MHz) δ 2.08 (s, 6 H), 3.67 (s, 3 H), 6.45 (s, 1 H), 7.38–7.47 (m, 5 H); ¹³C NMR (75 MHz) δ 31.5, 52.6, 55.6, 102.6, 117.8, 127.1, 129.0, 130.4, 135.6, 154.3, 158.5, 164.9, 165.5; HRMS calcd for C₁₆H₁₅BrO₄ 350.0154, found 350.0158.

Methyl 3-[2-(2-bromopropyl)]-2-(methoxycarbonyl)-5hydroxy-5-phenyl-1,3-pentadienoate (52a): mp 125–126.5 °C; IR (melt) 2951, 2929, 1742, 1679, 1609, 1576, 1298, 1151, 1067, 780 cm⁻¹; ¹H NMR (300 MHz) δ 1.94 (s, 6 H), 3.67 (s, 6 H), 5.59 (s, 1 H), 7.16 (s, 1 H), 7.38–7.52 (m, 5 H); ¹³C NMR (75 MHz) δ 29.6, 52.7, 55.3, 63.7, 124.6, 127.4, 128.4, 129.3, 139.8, 140.8, 151.1, 168.0, 195.3; MS isobutane chemical ionization 383 (M⁺ + H); HRMS calcd for C₁₇H₁₉O₅ 303.1232, found 303.1236 (M⁺ – Br).

6-[2-(2-Acetoxypropy])]-3-(methoxycarbonyl)-4-phenyl-2-pyrone (9d): IR (neat) 3062, 2992, 2851, 1743, 1721, 1637, 1581, 1247, 1131, 1021 cm⁻¹; ¹H NMR (300 MHz) δ 1.71 (s, 6 H), 2.07 (s, 3 H), 3.67 (s, 3 H), 6.30 (s, 1 H), 7.41–7.46 (m, 5 H); ¹³C NMR (75 MHz) δ 21.6, 25.5, 52.6, 77.8, 102.9, 116.7, 127.2, 128.9, 130.3, 136.1, 154.9, 159.0, 165.2, 166.1, 169.6; HRMS calcd for C₁₈H₁₈O₆ 330.1103, found 330.1098.

Methyl 3-[2-(2-acetoxypropyl)]-2-(methoxycarbonyl)-5hydroxy-5-phenyl-1,3-pentadienoate (52d): mp 100–103 °C; IR (melt) 3457, 3364, 2985, 1738, 1691, 1606, 1147, 1077, 1020, 777, 699 cm⁻¹; ¹H NMR (300 MHz) δ 1.51 (s, 6 H), 2.09 (s, 3 H), 3.60 (s, 6 H), 5.56 (s, 1 H), 6.69 (s, 1 H), 7.32–7.42 (m, 5 H); ¹³C NMR (75 MHz) δ 21.0, 23.3, 52.4, 55.2, 83.6, 123.5, 127.3, 128.2, 128.9, 139.7, 150.3, 168.1, 169.9, 198.5; MS isobutane chemical ionization 363 (M⁺ + H); HRMS calcd for C₁₄H₁₃O₅ 261.0763, found 261.0768 (M⁺ – CH₃CO₂C(CH₃)₂).

Methyl 4-benzyl-2-(methoxycarbonyl)-5-oxohexanoate (8f): IR (neat) 3066, 2956, 1754, 1737, 1715, 1604, 1158, 738, 702 cm⁻¹; ¹H NMR (300 MHz) δ 1.99 (s, 3 H), 2.02 (partly obscured m, 1 H), 2.25 (m, 1 H), 2.71 (m, 1 H), 2.88 (m, 1 H), 3.34 (dd, 1 H, J = 9, 6 Hz), 3.69 (s, 6 H), 7.11–7.30 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 29.6, 30.4, 38.3, 49.3, 51.5, 52.5, 126.6, 128.5, 128.7, 138.3, 169.2, 169.4, 210.8; HRMS calcd for C₁₆H₂₀O₅ 292.1311, found 292.1308.

2-Benzyl-2-[2,2-bis(methoxycarbonyl)ethyl]-1-[bis(methoxycarbonyl)methyl]cyclopropanol (20, major isomer): R_f 0.20; IR (neat) 3506, 3033, 1738, 1604, 1436, 1198, 1156, 1021, 703 cm⁻¹; ¹H NMR (300 MHz) δ 0.67 (d, 1 H, J = 6 Hz), 0.77 (d, 1 H, J = 6 Hz), 1.62 (dd, 1 H, J = 15, 11 Hz), 2.13 (dd, 1 H, J = 15, 4 Hz), 2.93 (d, 1 H, J = 15 Hz), 3.01 (d, 1 H, J = 15 Hz), 3.44 Barbee et al.

(s, 1 H), 3.60 (partially obscured dd, 1 H), 3.64 (s, 3 H), 3.64 (s, 3 H), 3.72 (s, 3 H), 3.80 (s, 3 H), 4.13 (s, 1 H), 7.17–7.30 (overlapping m, 5 H); 13 C NMR (75 MHz) δ 23.3, 29.8, 30.3, 35.7, 48.6, 52.5, 52.6, 55.0, 61.7, 126.1, 128.1, 129.3, 138.6, 168.7, 169.2, 169.6, 169.9; HRMS calcd for C_{21}H_{27}O_9 423.1655, found 423.1651 (M⁺ + H).

2-Benzyl-2-[2,2-bis(methoxycarbonyl)ethyl]-1-[bis(methoxycarbonyl)methyl]cyclopropanol (20, minor isomer): R_f 0.17; IR (neat) 3504, 3029, 2957, 1752, 1734, 1604, 1155, 1028, 703 cm⁻¹; ¹H NMR (300 MHz) δ 0.92 (d, 1 H, J = 6 Hz), 0.96 (d, 1 H, J = 6 Hz), 2.18 (dd, 1 H, J = 15, 7 Hz), 2.34 (dd, 1 H, J = 15, 6 Hz), 2.41 (d, 1 H, J = 15 Hz), 2.92 (d, 1 H, J = 15 Hz), 3.38 (s, 1 H), 3.64 (partially obscured dd, 1 H, J = 8, 6 Hz), 3.66 (s, 3 H), 3.75 (s, 3 H), 3.81 (s, 3 H), 3.83 (s, 3 H), 4.26 (s, 1 H), 7.19–7.32 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 25.3, 29.6, 29.7, 37.4, 49.5, 52.5, 52.7, 52.8, 55.7, 61.0, 126.6, 128.4, 128.9, 138.0, 168.9, 169.1, 170.0, 170.5; HRMS calcd for C₂₁H₂₇O₉ 423.1655, found 423.1660 (M⁺ + H).

2-Benzyl-4,4-bis(methoxycarbonyl)cyclopentanone (17): IR (neat) 3032, 2958, 1745, 1736, 1456, 1437, 1249, 1166, 703 cm⁻¹; ¹H NMR (300 MHz) δ 2.08 (dd, 1 H, J = 15, 9 Hz), 2.59 (dd, 1 H, J = 13, 9 Hz), 2.60–2.72 (overlapping m, 2 H), 2.71 (d, 1 H, J = 19 Hz), 3.01 (d, 1 H, J = 19 Hz), 3.18 (dd, 1 H, J = 13, 3 Hz), 3.73 (s, 3 H), 3.75 (s, 3 H), 7.14–7.31 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 35.5, 35.8, 45.0, 49.2, 53.1, 53.2, 54.6, 126.4, 128.6, 128.8, 138.8, 171.3, 214.1; HRMS calcd for C₁₆H₁₈O₆ 290.1154, found 290.1156.

Compound 23a: IR (neat) 3029, 2953, 1755, 1735, 1682, 1604, 1150, 736, 705 cm⁻¹; ¹H NMR (300 MHz) δ 1.65 (m, 1 H), 1.81 (m, 2 H), 2.18 (m, 1 H), 2.93 (d, 1 H, J = 14 Hz), 3.02 (d, 1 H, J = 14 Hz), 3.33 (d, 1 H, J = 16 Hz), 3.41 (d, 1 H, J = 16 Hz), 3.63 (d, 1 H, J = 16 Hz), 3.75 (s, 3 H), 3.76 (s, 3 H), 3.83 (t, 1 H, J = 8 Hz), 4.03 (d, 1 H, J = 16 Hz), 7.08–7.32 (overlapping m, 10 H); ¹³C NMR (75 MHz) δ 21.9, 28.7, 30.0, 35.5, 37.5, 43.9, 49.1, 52.7, 52.8, 85.9, 109.4, 126.2, 127.2, 128.2, 128.4, 128.5, 130.2, 134.6, 139.5, 143.3, 169.2, 169.4, 205.0; HRMS calcd for C₂₇H₂₉BrO₆ 528.1148, found 528.1151.

Methyl 5-benzyl-2-(methoxycarbonyl)-4-oxohex-5-enoate (18): IR (neat) 3031, 2955, 1755, 1737, 1680, 1630, 1605, 1273, 1158, 751, 701 cm⁻¹; ¹H NMR (300 MHz) δ 3.37 (d, 2 H, J = 7Hz), 3.59 (s, 2 H), 3.75 (s, 6 H), 3.94 (t, 1 H, J = 7 Hz), 5.69 (t, 1 H, J = 1 Hz), 6.19 (s, 1 H), 7.14–7.32 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 36.8, 37.2, 46.7, 52.8, 126.3, 126.6, 128.5, 129.1, 138.6, 147.5, 169.4, 197.4; HRMS calcd for C₁₆H₁₈O₅ 290.1154, found 290.1155.

Tetraester 19: IR (neat) 3027, 3007, 1753, 1735, 1715, 1602, 1438, 1275, 1030, 738, 703 cm⁻¹; ¹H NMR (300 MHz) δ 1.99 (m, 1 H), 2.25 (m, 1 H), 2.64 (dd, 1 H, J = 16, 10 Hz), 2.77 (dd, 1 H, J = 19, 7 Hz), 2.94 (dd, 1 H, J = 16, 7 Hz), 2.94 (obscured m, 1 H), 3.02 (dd, 1 H, J = 19, 7 Hz), 3.34 (dd, 1 H, J = 9, 7 Hz), 3.69 (s, 6 H), 3.69 (s, 3 H), 3.72 (s, 3 H), 3.78 (t, 1 H, J = 7 Hz), 7.10–7.30 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 29.6, 38.2, 41.9, 46.3, 49.1, 50.7, 52.6, 52.7, 52.9, 126.7, 128.6, 128.8, 138.0, 168.9, 169.2, 169.3, 209.4; HRMS calcd for C₂₁H₂₆O₉ 422.1576, found 422.1580.

Methyl 4-ben zyl-6-chloro-2-(methoxycarbonyl)-5-oxohexanoate (21c): IR (neat) 3064, 3031, 2956, 2853, 1753, 1735, 1605, 1585, 1438, 1269, 1158, 1032, 739, 703 cm⁻¹; ¹H NMR (300 MHz) δ 2.10 (m, 1 H, J = 13, 9, 4 Hz), 2.35 (m, 1 H, J = 14, 9, 6 Hz), 2.76 (dd, 1 H, J = 13, 6 Hz), 2.86 (dd, 1 H, J = 13, 9 Hz), 3.16 (m, 1 H), 3.35 (dd, 1 H, J = 9, 6 Hz), 3.64 (s, 6 H), 3.93 (d, 1 H, J = 16 Hz), 7.12–7.34 (overlapping m, 5 H); ¹³C NMR (75 MHz) δ 30.0, 39.2, 484, 49.1, 49.2, 52.7, 127.0, 128.8, 128.9, 137.7, 169.1, 169.3, 204.5; HRMS calcd for C₁₆H₂₀ClO₅ 327.0999, found 327.0996 (M⁺ + H).

Methyl 6-acetoxy-4-benzyl-2-(methoxycarbonyl)-5-oxohexanoate (21d): IR (neat) 3031, 2955, 1752, 1734, 1604, 1439, 1233, 1155, 736, 704 cm⁻¹; ¹H NMR (300 MHz) δ 2.06 (m, 1 H), 2.12 (s, 3 H), 2.31 (m, 1 H), 2.72 (dd, 1 H, J = 13, 5 Hz), 2.86–2.98 (overlapping m, 2 H), 3.41 (dd, 1 H, J = 10, 6 Hz), 3.71 (s, 3 H), 3.72 (s, 3 H), 4.16 (d, 1 H, J = 17 Hz), 4.58 (d, 1 H, J = 17 Hz), 7.13 (d, 2 H, J = 7 Hz), 7.14–7.33 (overlapping m, 3 H); ¹³C NMR (75 MHz) δ 20.3, 29.9, 38.6, 47.3, 48.9, 52.6, 68.7, 126.9, 128.8, 128.9, 137.9, 169.2, 169.4, 170.1, 206.1; MS isobutane chemical ionization 351 (M⁺ + H); HRMS calcd for C₁₆H₁₈O₅ 290.1154, found 290.1150 (M⁺ - CH₃CO₂H).

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Supplementary Material Available: ¹H NMR spectra of all new compounds as well as X-ray parameters for cyclopropanols 14 and 32 (72 pages). Ordering information is given on any current masthead page.

The Gymnochromes: Novel Marine Brominated Phenanthroperylenequinone Pigments from the Stalked Crinoid Gymnocrinus richeri[†]

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Five novel brominated phenanthroperylenequinone pigments, gymnochromes A-D (1-4) and isogymnochrome D (5), were isolated from the stalked crinoid Gymnocrinus richeri. The structures of the compounds were inferred from their spectra (IR, UV-vis, ¹H and ¹³C NMR, FABMS). The presence of both bulky hydroxy groups at positions 10 and 11 and side chains at positions 3 and 4 causes sufficient crowding to force the octacyclic phenanthroperylenequinone system into a nonplanar helical shape. This helicity generates axial chirality in the molecules. The presence of chiral carbon atoms in the side chains gives rise to diastereomers. The absolute configurations of the chiral carbons and the axial chirality of the natural pigments was inferred from CD and NMR data and by correlations made with cercosporin and other naturally occurring perylenequinones. The configurations assigned to the chiral carbons in the side chains of compounds 4 and 5 were confirmed by the results of the application of Horeau's method of kinetic resolution.

Quinoid pigments occur widely in microorganisms and plants.¹ In the animal kingdom they are found in certain insects and in echinoderms,² often in echinoids and crinoids. At present, four groups of polyketide-derived pigments are known to occur in crinoids:3 linear and angular naphtopyrones; 4-acylanthraquinones; 3-alkylanthraquinones; and dimeric bianthrones, bianthraquinones and phenanthroperylenequinones.⁴ Apparently, quinoid pigments were biosynthesized many millions of years ago. In support of this belief is the observation that fringelites, described by Blumer⁵ as hydroxylated phenanthroperylenequinones, were found in the fossilized remains of a Jurassic crinoid (Apiocrinus) discovered near Fringeli in northwestern Switzerland.

We recently had the opportunity to examine a deepwater stalked fossil crinoid, Gymnocrinus richeri, discovered by one of us (B.R.F.) at a depth of 520 m during the CHALCAL 2 oceanographic campaign of 1986. The campaign was directed toward the exploration of the bathial zone off the coast of New Caledonia, which is particularly rich in so-called "living fossils". Gymnocrinus richeri is one of the best examples of those species to which it is appropriate to apply the description "living fossil".⁶ The body of the live crinoid is saffron vellow, whereas its stalk is a darker vellow and the inside of its tentacles is dark yellow-green. However, within a few minutes of collection, outside salt water, the animal turns dark green.

Extraction with hexane and dichloromethane did not remove any pigment from a freeze-dried green sample. Extraction with methanol gave a dark green solution, which on very mild acidification turned violet.

Herein we describe the isolation, structure, and stereochemistry of five violet pigments [gymnochrome A (1), gymnochrome B (2), gymnochrome C (3), gymnochrome D(4) and isocymnochrome D(5) which constitute a novel

[†]Dedicated to Professor G. B. Marini Bettolo on the occasion of his 75th birthday.

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